

| FACTS  | <b>WHAT DOES LEERINK PARTNERS DO WITH YOUR PERSONAL INFORMATION?</b>  |                             |
|--|---|-----------------------------|
| Why?   | Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.  |                             |
| What?  | <p>The types of personal information we collect, and share depend on the product or service you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security number and account number</li> <li>• Address and telephone number</li> <li>• Name and address</li> </ul> |                             |
| How?   | All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Leerink Partners chooses to share; and whether you can limit this sharing.       |                             |
| Reasons we can share your personal information   | Does Leerink Partners Share?  | Can you limit this sharing? |
| For our everyday business purposes — such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | YES   | NO                          |
| For our marketing purposes — to offer our products and services to you   | YES   | NO                          |
| For joint marketing with other financial companies   | NO  | WE DO NOT SHARE             |
| For our affiliates' everyday business purposes — information about your transactions and experiences   | NO  | WE DO NOT SHARE             |
| For our affiliates' everyday business purposes — information about your creditworthiness   | NO  | WE DO NOT SHARE             |
| For our affiliates to market to you  | NO  | WE DO NOT SHARE             |
| For nonaffiliates to market to you   | NO  | WE DO NOT SHARE             |
| Questions?   | Call 800-808-7525 or go to <a href="http://www.leerink.com/contact-us">www.leerink.com/contact-us</a>   |                             |

| Who we are   |  |
|--|--|
| <b>Who is providing this notice?</b>   | Leerink Partners LLC   |
| What we do   |  |
| <b>How does Leerink Partners protect my personal information?</b>  | To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.  |
| <b>How does Leerink Partners collect my personal information?</b>  | We collect your personal information, for example, when you: <ul style="list-style-type: none"> <li>• Open an account or update your financial information.</li> <li>• Place an order or change your investment objective.</li> <li>• Whenever required by regulation.</li> </ul>  |
| <b>Why can't I limit all sharing?</b>  | Federal law gives you the right to limit only: <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes— information about your creditworthiness</li> <li>• Affiliates from using your information to market to you.</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p> |
| <b>What happens when I limit sharing for an account I hold jointly with someone else?</b>  | If you are a joint account holder, we will accept instructions from either of you and apply them to the entire account.  |
| Definitions  |  |
| <b>Affiliates</b>  | Companies related by common ownership or control. They can be financial and nonfinancial companies.  |
| <b>Nonaffiliates</b>   | Companies not related by common ownership or control. They can be financial and nonfinancial companies.<br><br>Financial companies providing services for customers  |
| <b>Joint marketing</b>   | A formal agreement between nonaffiliated financial companies that together market financial products or services to you.   |
| Other important information  |  |
| This notice applies to both current and former customers. We may change this notice from time to time. If we do, we will notify you as required by applicable law. |  |